

# Accurate Interprocedural Null-Dereference Analysis for Java

**Mangala Gowri Nanda and Saurabh Sinha**

**{mgowri,saurabhsinha}@in.ibm.com.**

**IBM India Research Lab**



## Interprocedural Null-Dereference

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scanDir(File srcDir, File dest, JspMangler mangler, String files[]) {
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```
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    [501] File srcFile = new File(srcDir, filename);
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    [502] javaFile = mapToJavaFile(mangler, srcFile, srcDir, dest);
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mapToJavaFile(JspMangler mangler, File srcFile, File srcDir, File dest) {
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    [565] if (!srcFile.getName().endsWith(".jsp"))
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- **Yet, widely used Java static-analysis tools, such as FindBugs, JLINT and ESC/JAVA perform limited interprocedural analysis**
- **Salsa performs sound interprocedural analysis**
- **Tomb et al apply interprocedural analysis but limit the call depth explored**

## Contributions

- **A context- and path-sensitive interprocedural analysis for identifying potential null dereferences that is demand-driven and parametrized for cost-accuracy trade-offs**

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- **A context- and path-sensitive interprocedural analysis for identifying potential null dereferences that is demand-driven and parametrized for cost-accuracy trade-offs**
- **Empirical studies, using large open-source and commercial products, that illustrate the effectiveness, efficiency, and usefulness of our approach.**

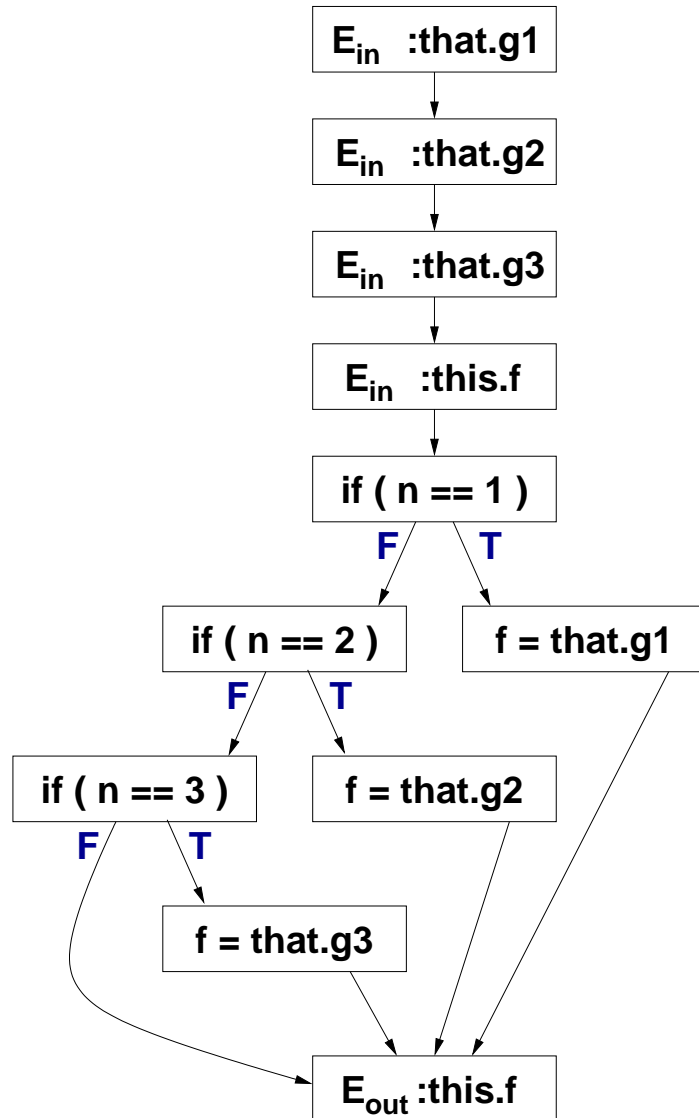


# Outline

- **Predicates - generation, transformation, states etc.**
- **Interprocedural analysis**
- **Scaling the analysis**
  - **Parameterized exploration**
  - **Simplified predicate handling**
- **Empirical evaluation**
- **Conclusion**

# Preliminaries

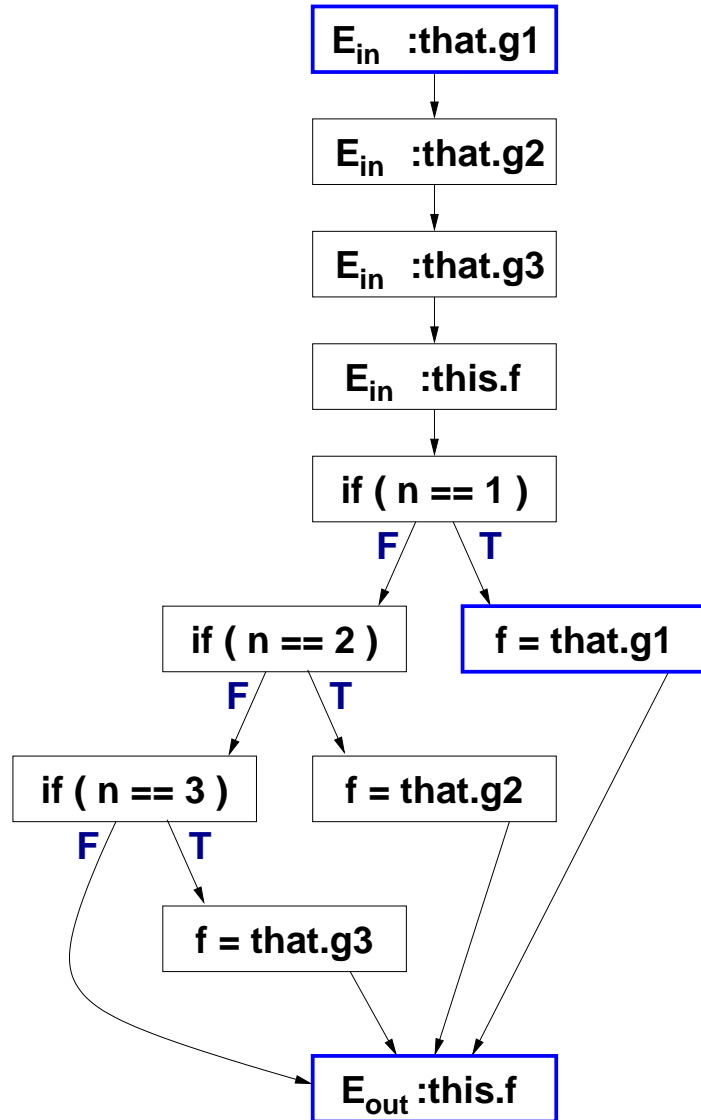
void phase2(this, n, that)



- Control Flow Graph with Formalin and Formalout
- Escape and Pointer analysis
- Control dependence analysis

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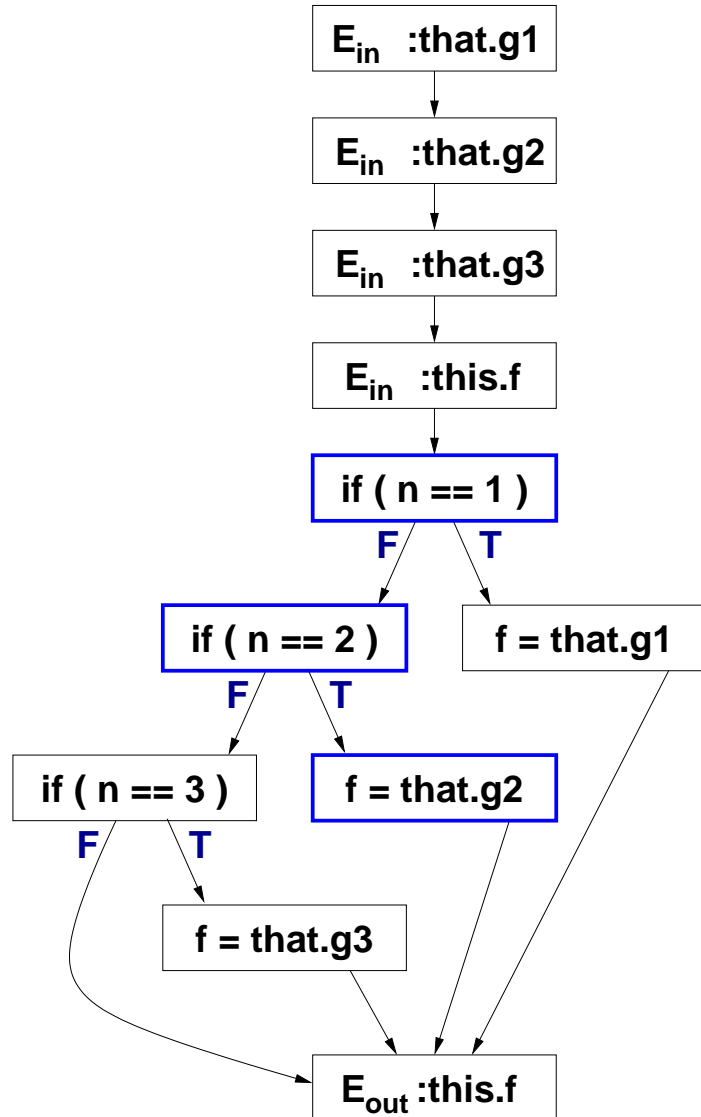
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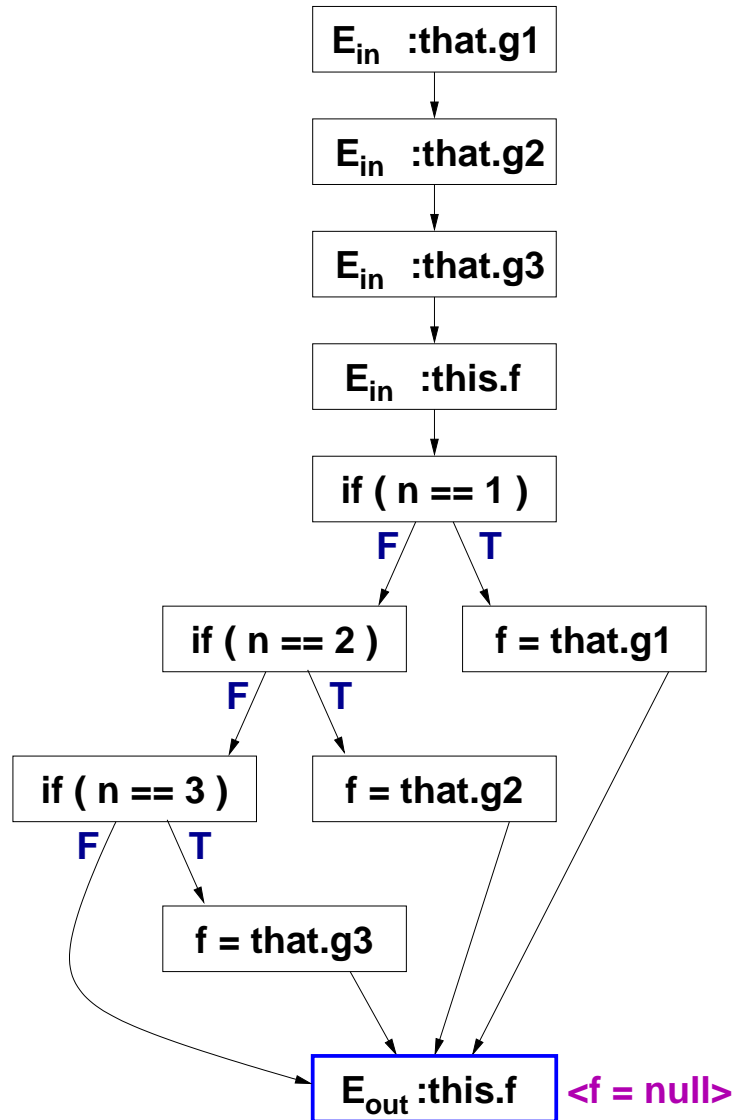
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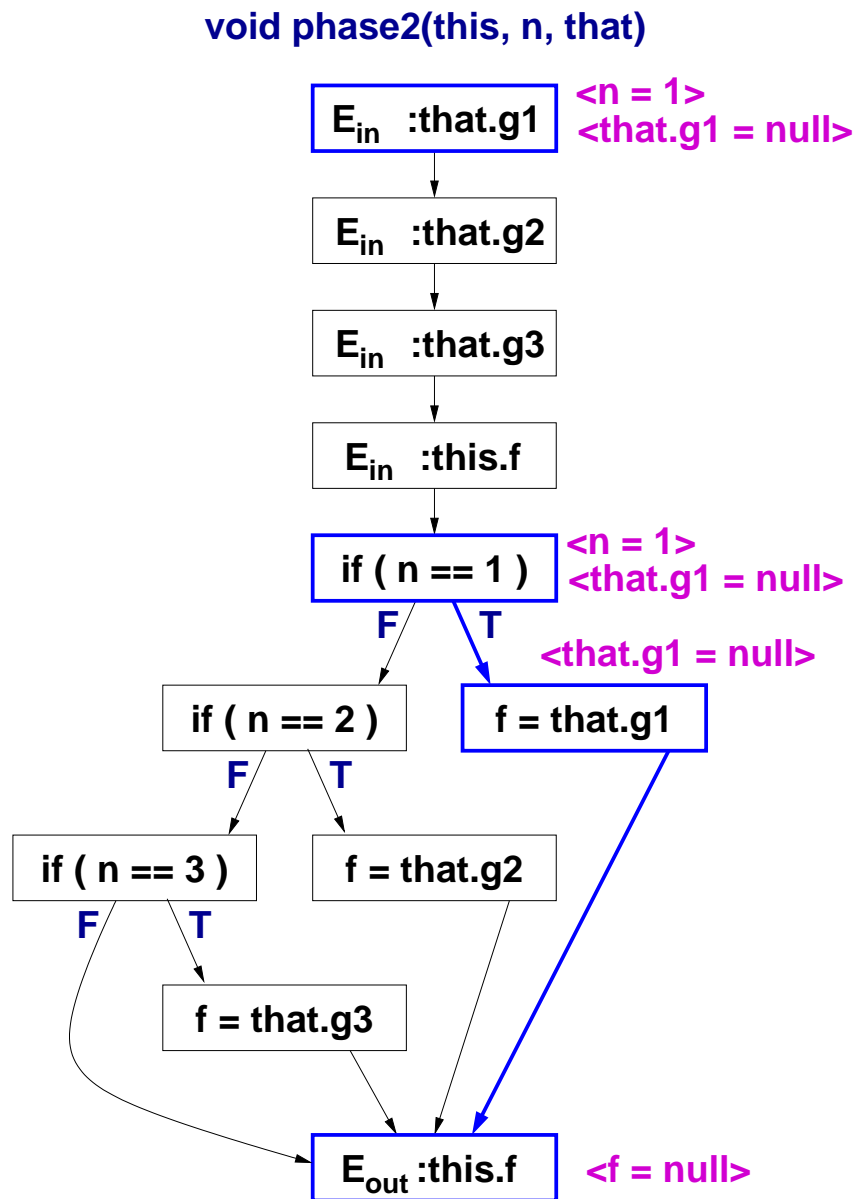
# Predicates, Transformations, States, and Consistency

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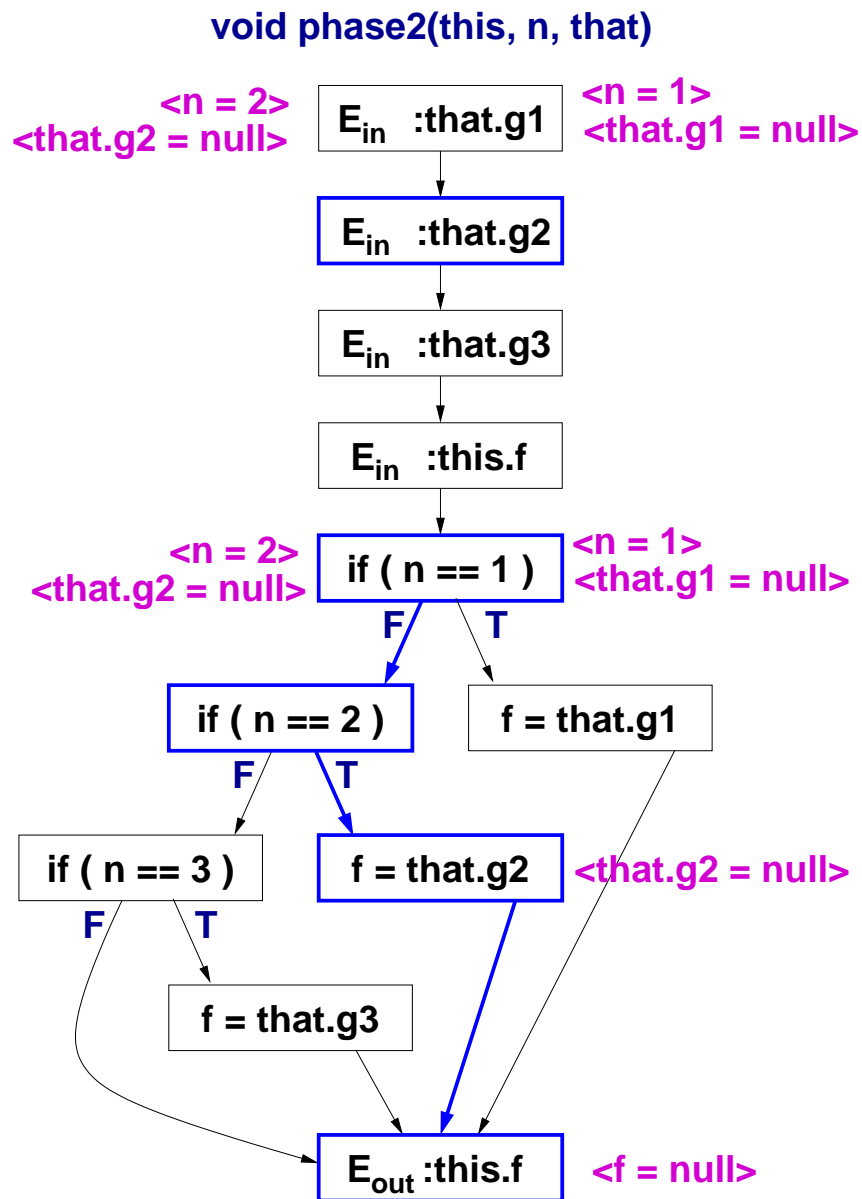
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# Predicates, Transformations, States, and Consistency



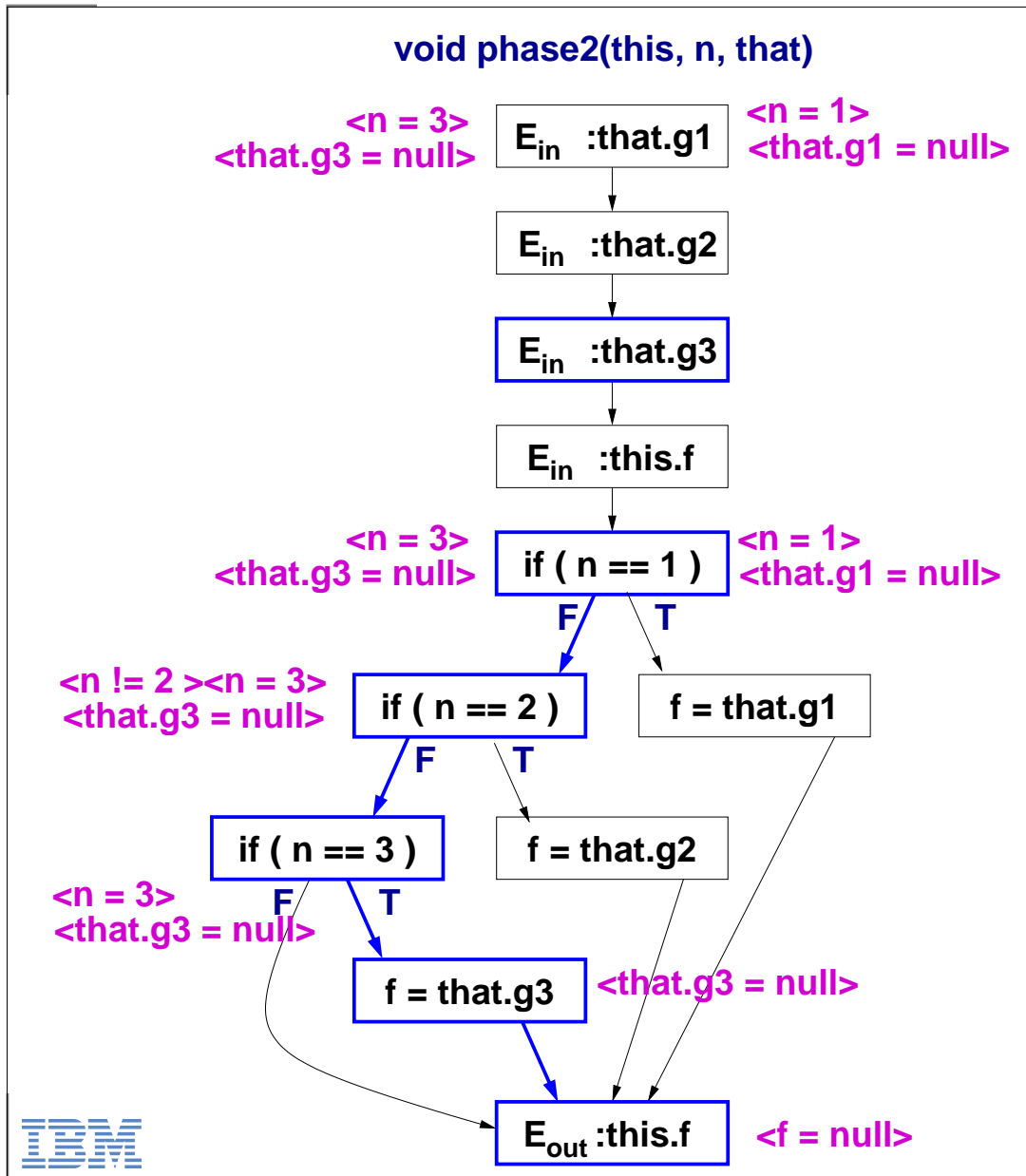
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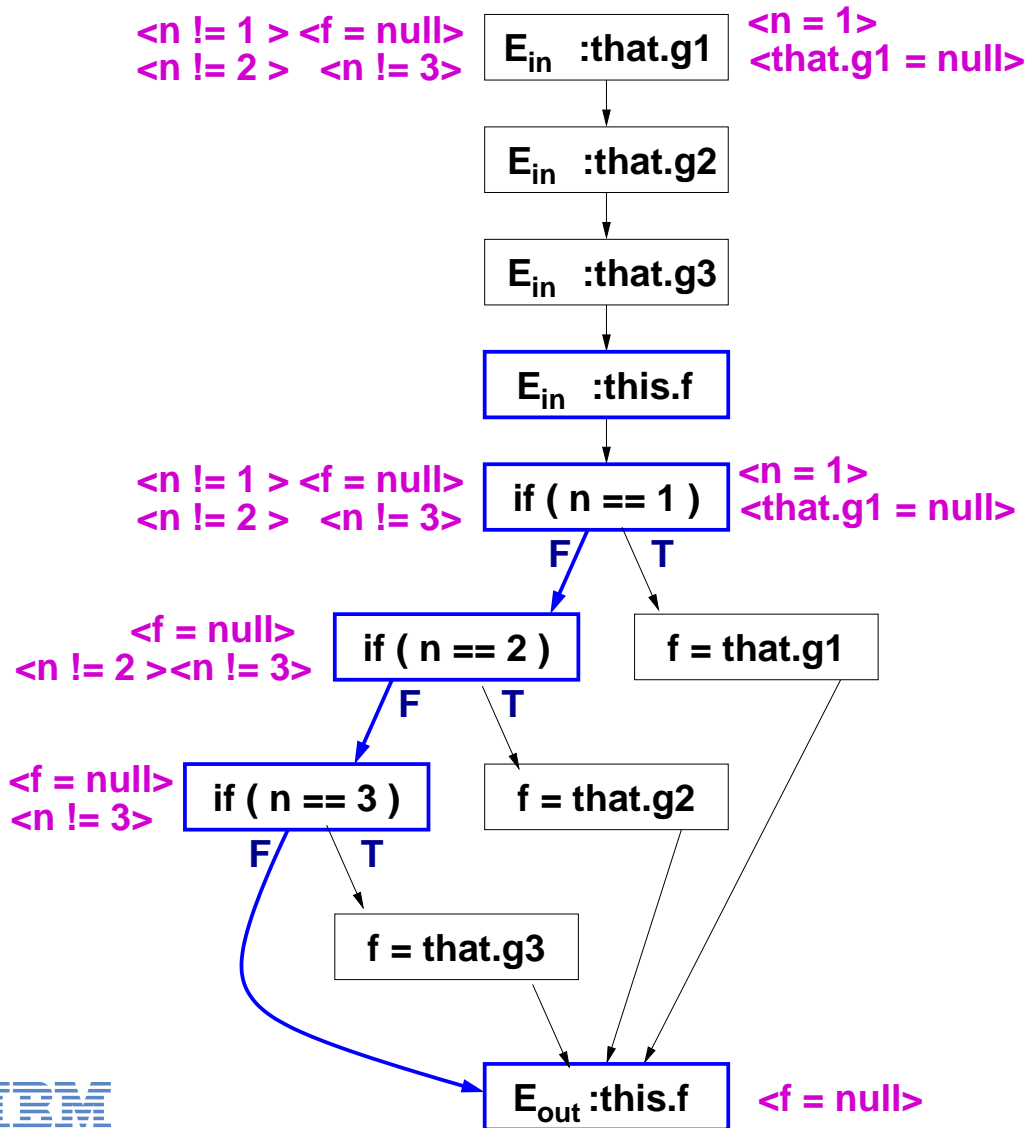
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# Method Summary

void phase2(this, n, that)



## Postconditions

● < this.f = null >

## Preconditions

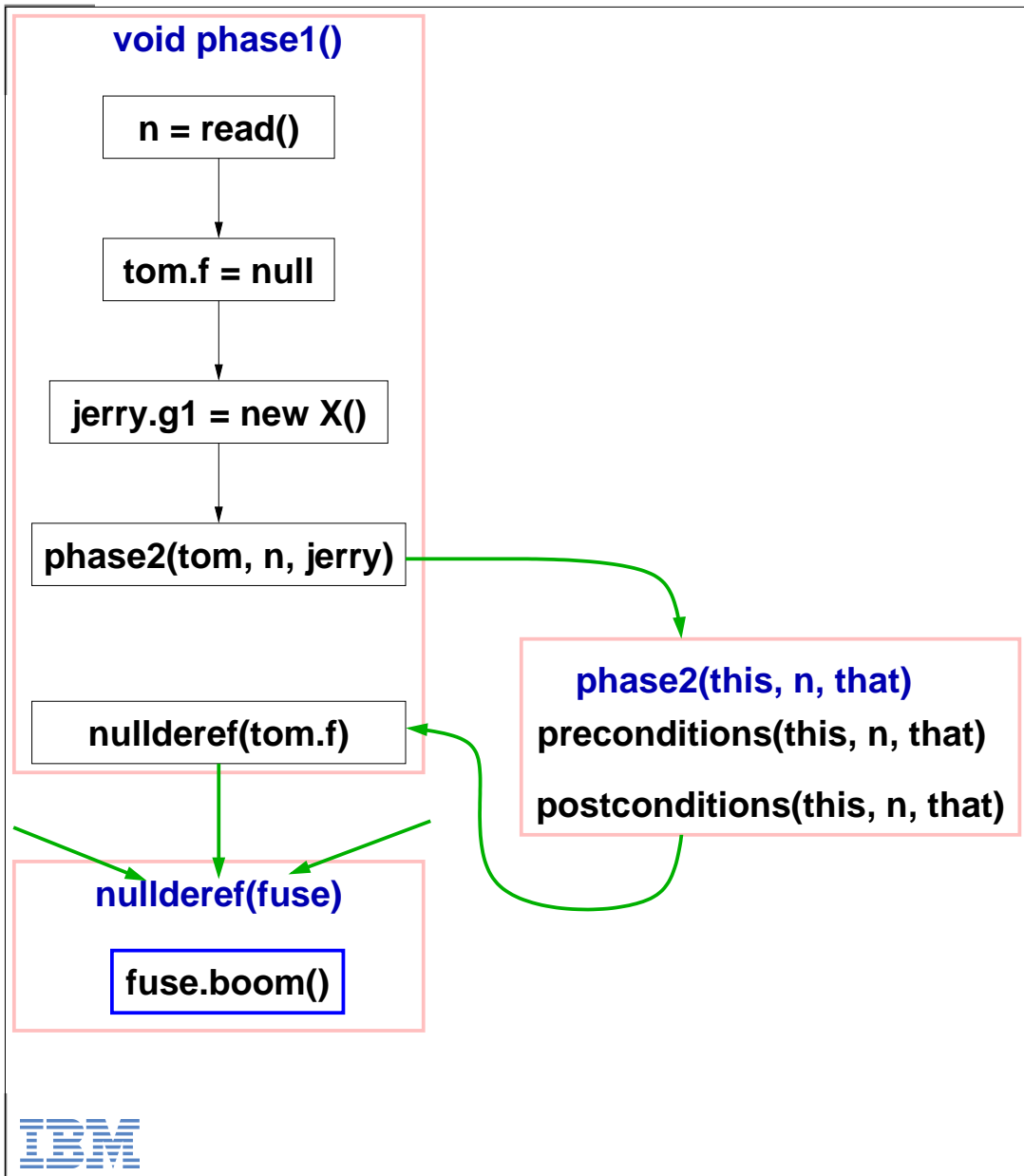
● < n = 1 > < that.g1 = null >

● < n = 2 > < that.g2 = null >

● < n = 3 > < that.g3 = null >

● < n ≠ 3 > < n ≠ 2 > < n ≠ 1 > < this.f = null >

# Interprocedural Analysis and Method Summary



## Method boundaries

- Phase1 - ascend into a calling method
- Phase2 - descend into a called method. Maintain stack for context-sensitivity
- Recursion

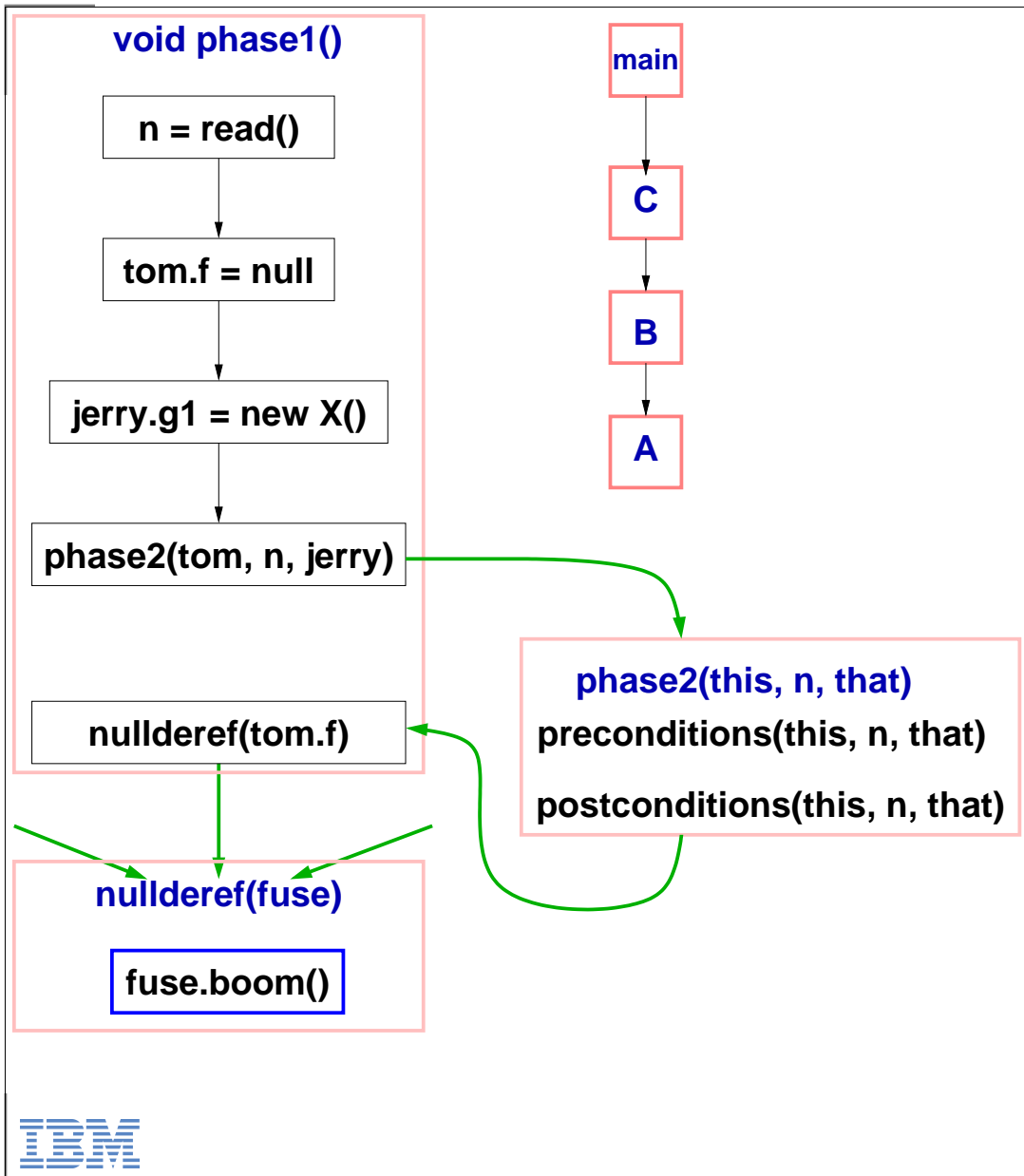
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- $\langle \text{this.f} = \text{null} \rangle$

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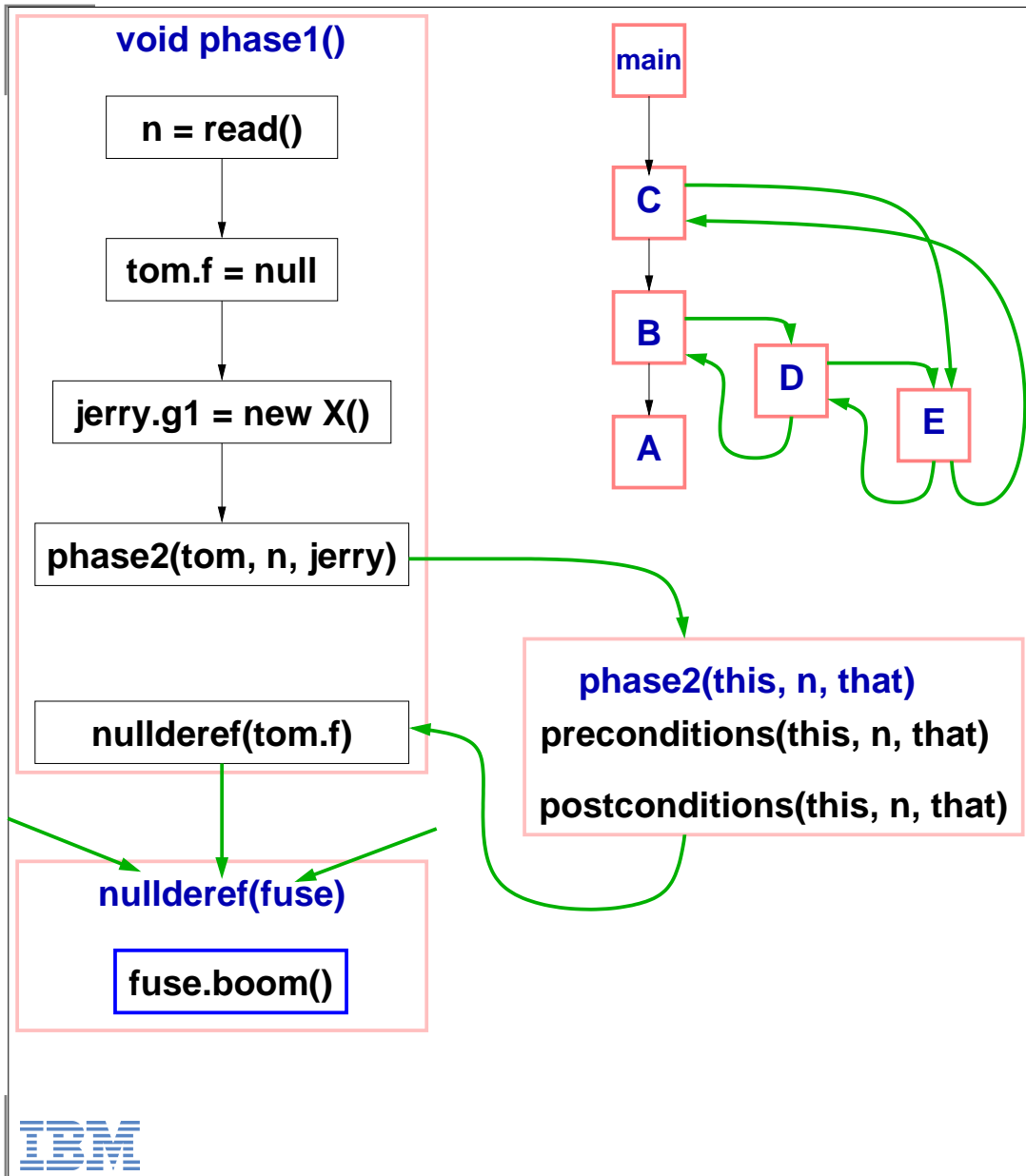
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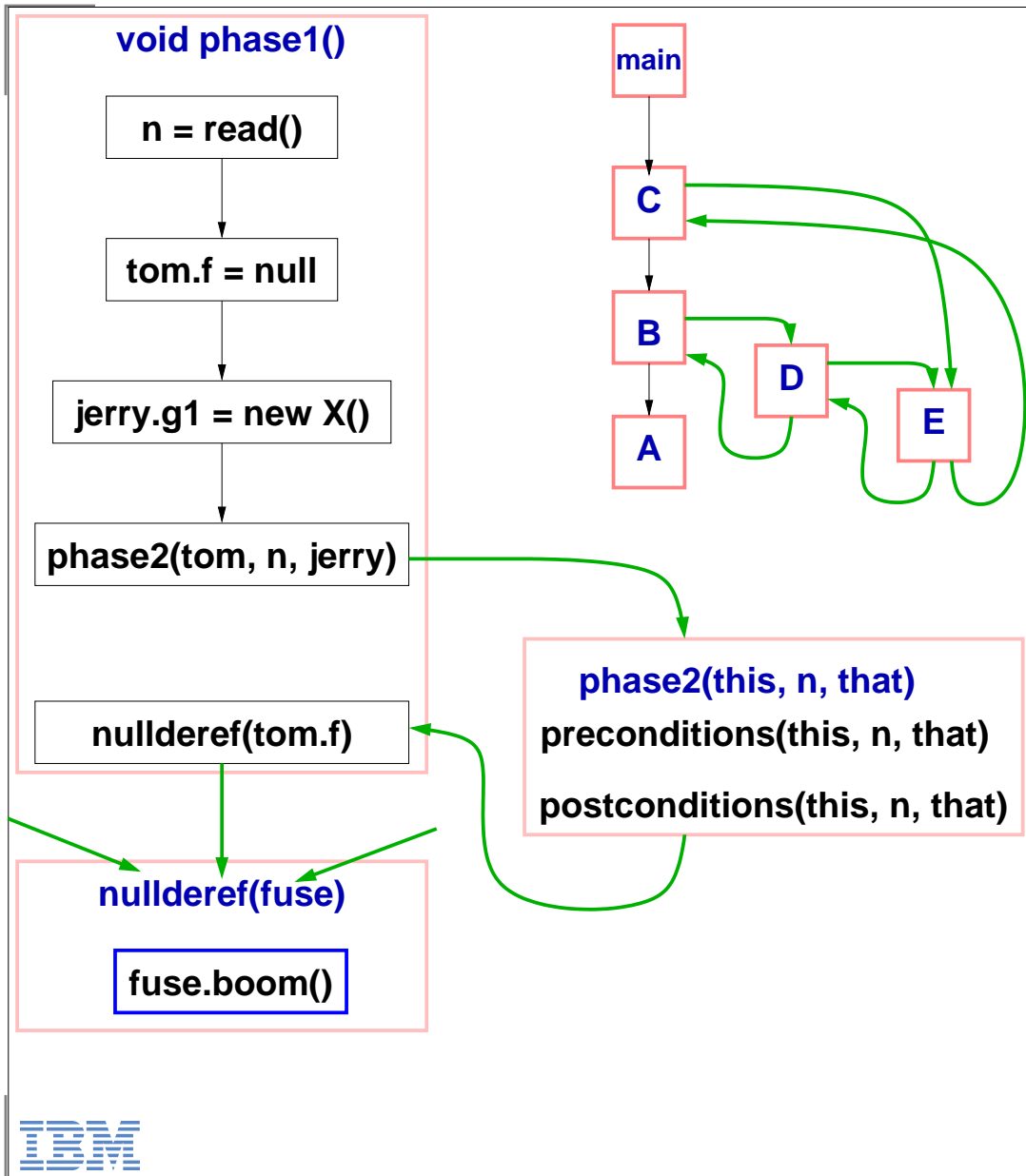
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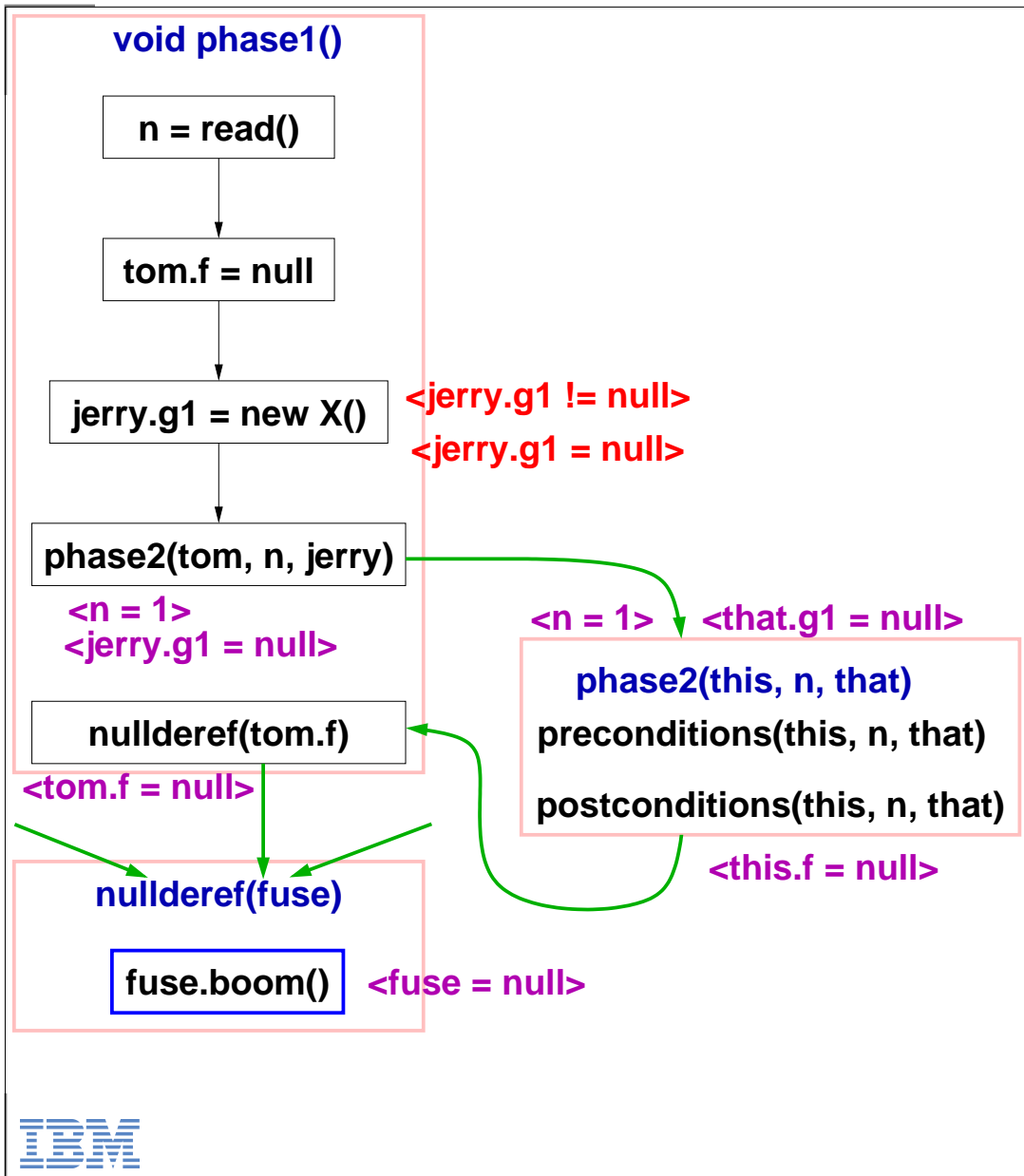
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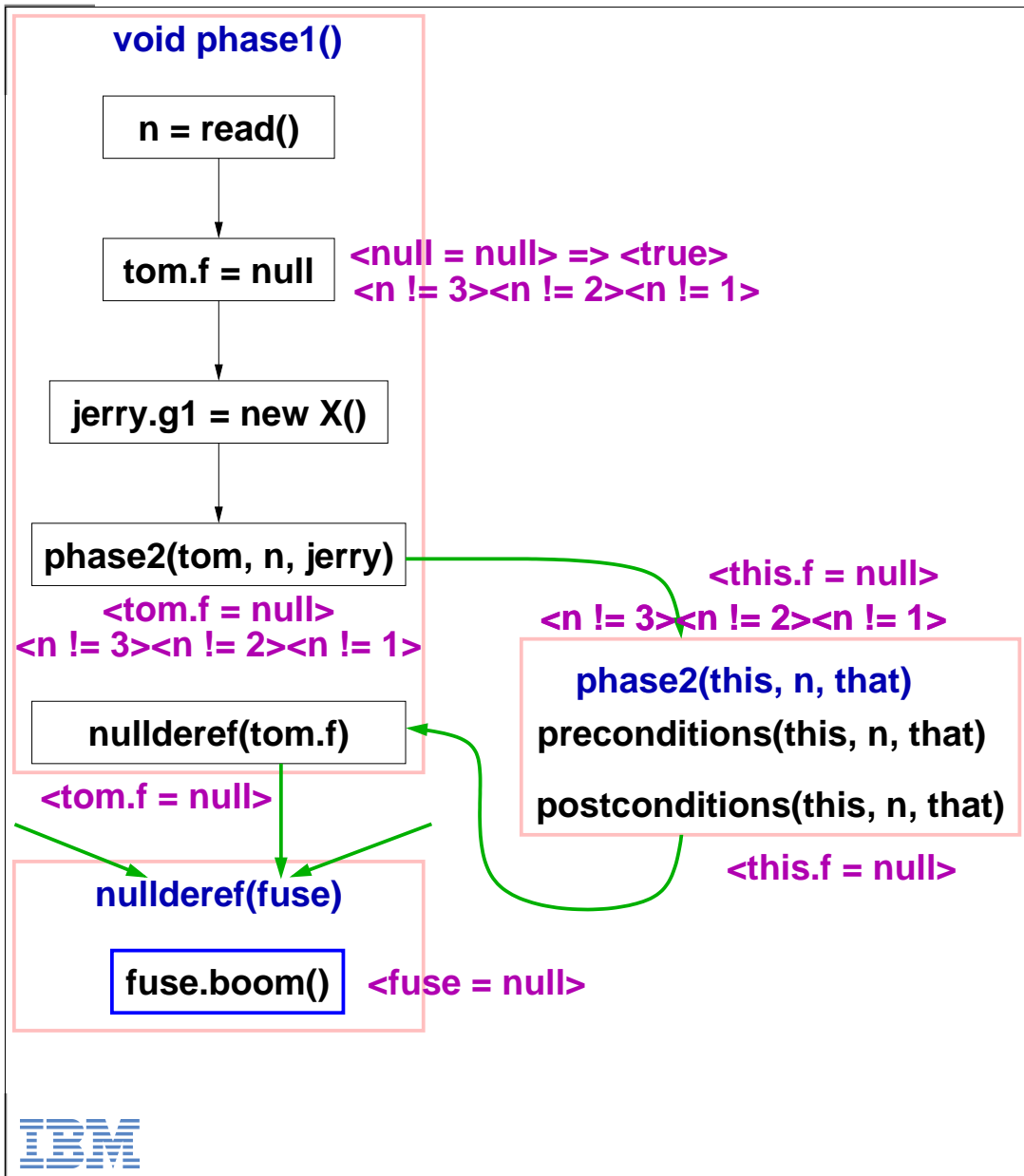
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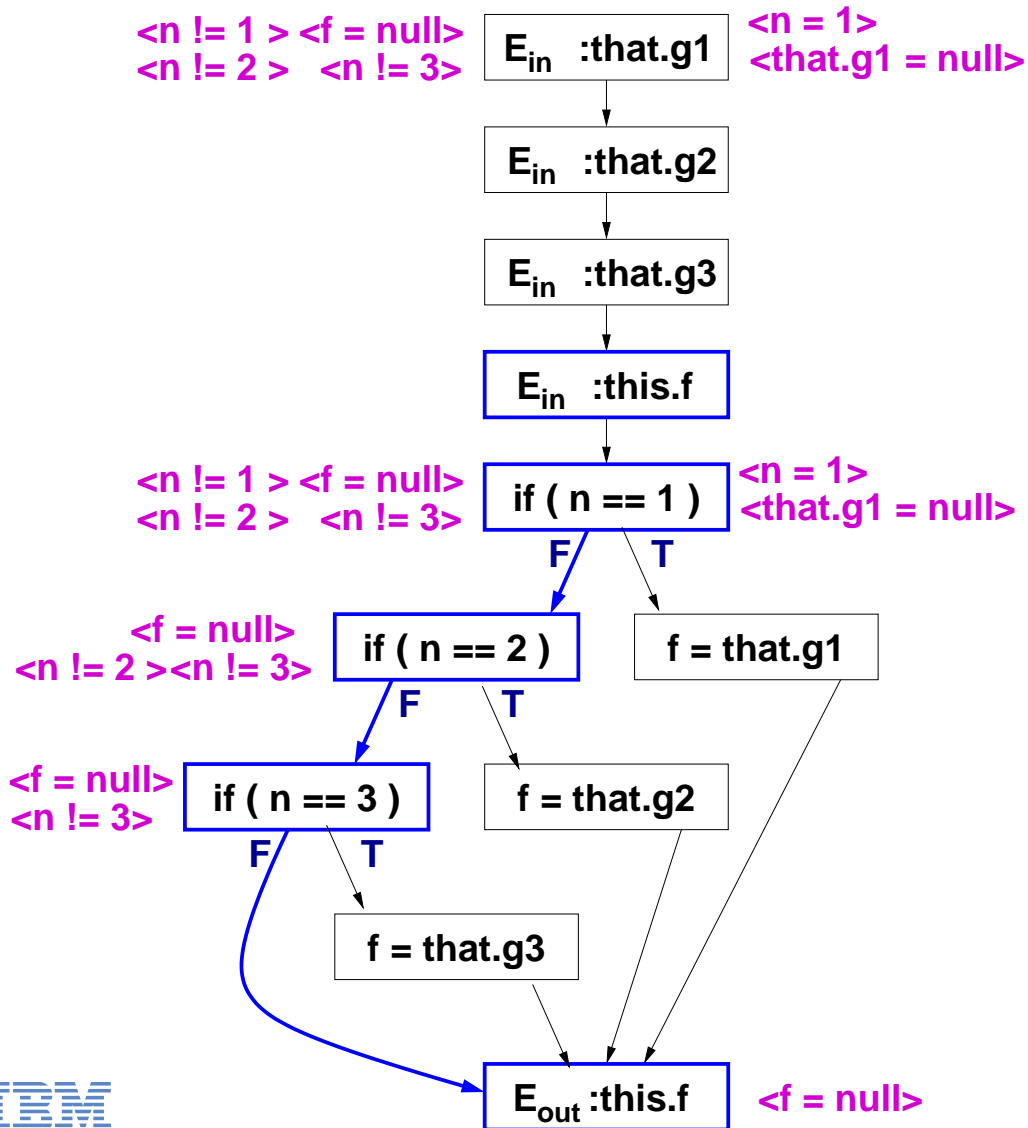
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# Scaling up the Analysis

void phase2(this, n, that)



## Parameterized exploration



Paths in a method: the number of postcondition to precondition mappings per method (7)



States per node (80)



Time per traversal (2sec)



## Simplified predicate handling



### Postconditions



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# Integer Arithmetic

$P_1$	$P_2$	test	T	F
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$$x = 10 \text{ and } x < 15 \implies x = 10$$

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$$x = 10 \text{ and } x < 15 \implies x = 10$$

$$x = 10 \text{ and } x < 5 \implies X$$

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$$x \neq 10 \text{ and } x < 8 \implies x < 8$$

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$x \neq v_1$	$x \leq v_2$	$v_1 > v_2$	$P_2$	$P_1$
$x \neq v_1$	$x > v_2$	$v_1 \leq v_2$	$P_2$	$P_1$
$x \neq v_1$	$x \geq v_2$	$v_1 < v_2$	$P_2$	$P_1$

$$x \neq 10 \text{ and } x < 8 \implies x < 8$$

$$x \neq 10 \text{ and } x < 15 \implies$$

$$x \neq 10 \text{ and } x < 15$$

# Integer Arithmetic

$P_1$	$P_2$	test	T	F
$x = v_1$	$x = v_2$	$v_1 = v_2$	$P_1$	<b>X</b>
$x = v_1$	$x \neq v_2$	$v_1 \neq v_2$	$P_1$	<b>X</b>
$x = v_1$	$x < v_2$	$v_1 < v_2$	$P_1$	<b>X</b>
$x = v_1$	$x \leq v_2$	$v_1 \leq v_2$	$P_1$	<b>X</b>
$x = v_1$	$x > v_2$	$v_1 > v_2$	$P_1$	<b>X</b>
$x = v_1$	$x \geq v_2$	$v_1 \geq v_2$	$P_1$	<b>X</b>
$x \neq v_1$	$x = v_2$	$v_1 \neq v_2$	$P_2$	<b>X</b>
$x \neq v_1$	$x \neq v_2$	-	$P_{1,2}$	$P_{1,2}$
$x \neq v_1$	$x < v_2$	$v_1 \geq v_2$	$P_2$	$P_1$
$x \neq v_1$	$x \leq v_2$	$v_1 > v_2$	$P_2$	$P_1$
$x \neq v_1$	$x > v_2$	$v_1 \leq v_2$	$P_2$	$P_1$
$x \neq v_1$	$x \geq v_2$	$v_1 < v_2$	$P_2$	$P_1$

- [1] **if** ( $x == \text{null}$ )
- [2]      $y = 0;$              $\langle x = \text{null} \rangle \langle 0 < 0 \rangle$
- [3]      $i = 0;$               $\langle x = \text{null} \rangle \langle 0 < y \rangle$
- [4]     **while**( $i < y$ )     $\langle x = \text{null} \rangle \langle i < y \rangle$
- [5]      $x.\text{foo}();$          $\langle x = \text{null} \rangle$

# Integer Arithmetic

$P_1$	$P_2$	test	T	F
$x = v_1$	$x = v_2$	$v_1 = v_2$	$P_1$	<b>X</b>
$x = v_1$	$x \neq v_2$	$v_1 \neq v_2$	$P_1$	<b>X</b>
$x = v_1$	$x < v_2$	$v_1 < v_2$	$P_1$	<b>X</b>
$x = v_1$	$x \leq v_2$	$v_1 \leq v_2$	$P_1$	<b>X</b>
$x = v_1$	$x > v_2$	$v_1 > v_2$	$P_1$	<b>X</b>
$x = v_1$	$x \geq v_2$	$v_1 \geq v_2$	$P_1$	<b>X</b>
$x \neq v_1$	$x = v_2$	$v_1 \neq v_2$	$P_2$	<b>X</b>
$x \neq v_1$	$x \neq v_2$	-	$P_{1,2}$	$P_{1,2}$
$x \neq v_1$	$x < v_2$	$v_1 \geq v_2$	$P_2$	$P_1$
$x \neq v_1$	$x \leq v_2$	$v_1 > v_2$	$P_2$	$P_1$
$x \neq v_1$	$x > v_2$	$v_1 \leq v_2$	$P_2$	$P_1$
$x \neq v_1$	$x \geq v_2$	$v_1 < v_2$	$P_2$	$P_1$

- [1] **if (x == null)**
- [2]     **y = 0;**            **< x = null > < 0 < 0 >**
- [3]     **i = 0;**             **< x = null > < 0 < y >**
- [4]     **while(i < y)**    **< x = null > < i < y >**
- [5]     **x.foo();**         **< x = null >**
  
- [6]     **if (x == null)**   **False Positive**
- [7]     **y = 1;**
- [8]     **else**
- [9]     **y = z+1;**
- [10]    **i = 0;**            **< x = null >**
- [11]    **t = y-1;**         **< x = null > < true >**
- [12]    **while(i < t)**    **< x = null > < i < t >**
- [13]    **x.foo();**         **< x = null >**

## Dual Variable Predicates

$P_{double}$	$P_i$	gen
$x_1 = x_2$	$x_1 \text{ op } const$ $x_2 \text{ op } const$	$x_2 \text{ op } const$ $x_1 \text{ op } const$
$x_1 \neq x_2$	$x_1 = const$ $x_2 = const$	$x_2 \neq const$ $x_1 \neq const$



# Dual Variable Predicates

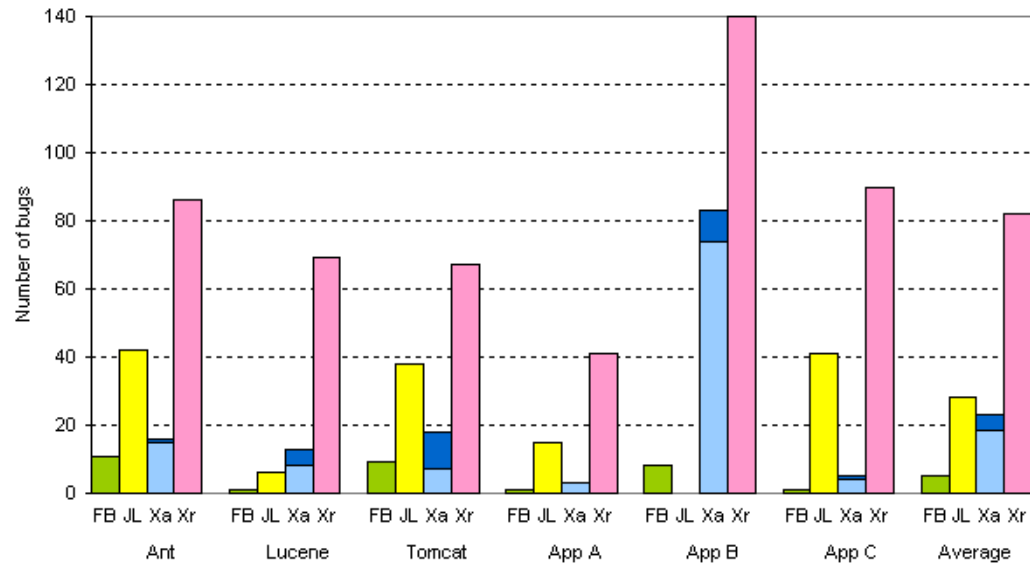
$P_{double}$	$P_i$	gen
$x_1 = x_2$	$x_1 \text{ op } const$ $x_2 \text{ op } const$	$x_2 \text{ op } const$ $x_1 \text{ op } const$
$x_1 \neq x_2$	$x_1 = const$ $x_2 = const$	$x_2 \neq const$ $x_1 \neq const$

- [1] **b = x.foo();**     $\langle x \neq null \rangle \langle y = null \rangle \langle x = null \rangle$
- [2] **if (x == y)**     $\langle y = null \rangle \langle x = null \rangle$
- [3]    **y.bar();**         $\langle y = null \rangle$

# Instanceof

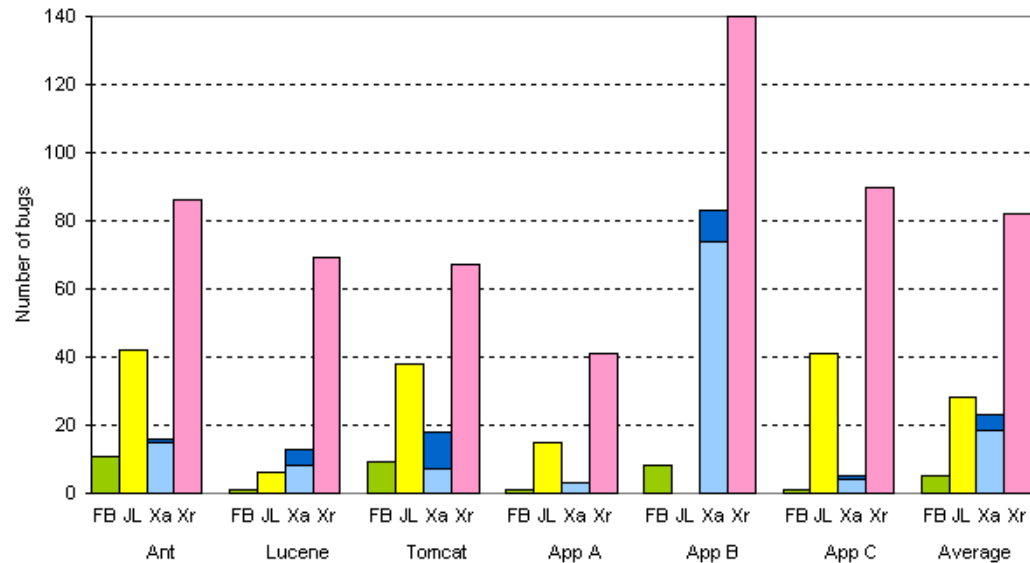
[1]	<b>if (el instanceof T)</b>	<b><math>\langle el \neq null \rangle</math></b>	<b><math>\langle el = null \rangle</math></b>
[2]	<b>T ta = (T) el;</b>	$\langle el = null \rangle$	
[3]	<b>ta.get();</b>	$\langle ta = null \rangle$	

# Empirical Evaluation - Accuracy



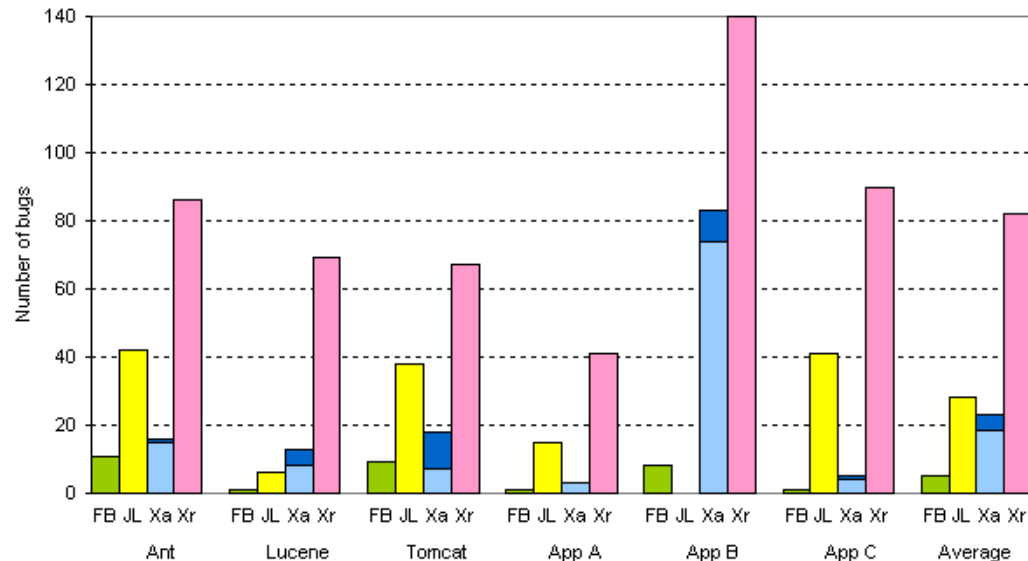
 XYLEM-inter detects 16 times as many bugs as FINDBUGS and 3 times as many bugs as JLINT.

# Empirical Evaluation - Accuracy



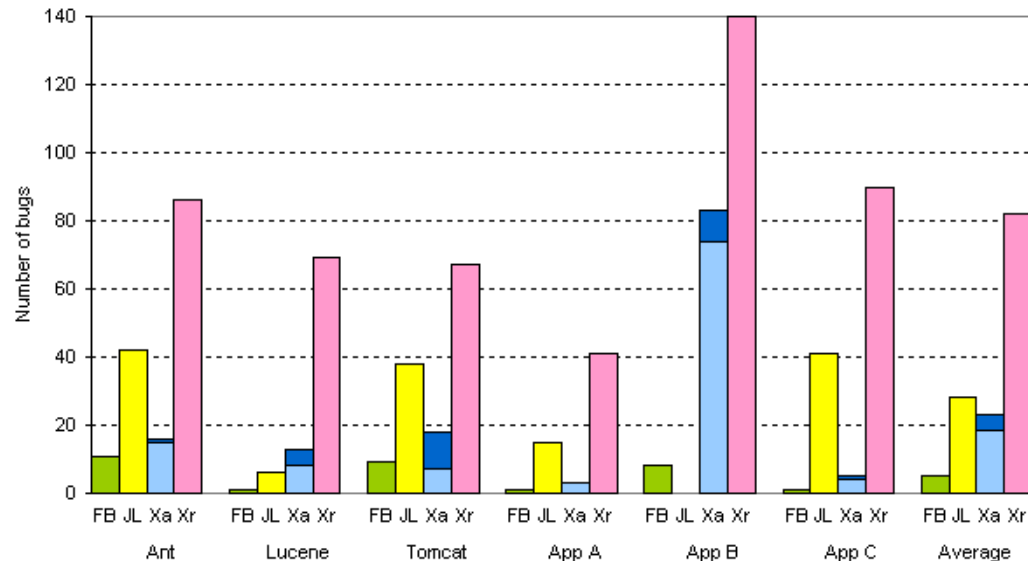
- XYLEM-inter detects 16 times as many bugs as FINDBUGS and 3 times as many bugs as JLINT.
- less than 5% of the intraprocedural bugs were invalidated by interprocedural analysis.

# Empirical Evaluation - Accuracy



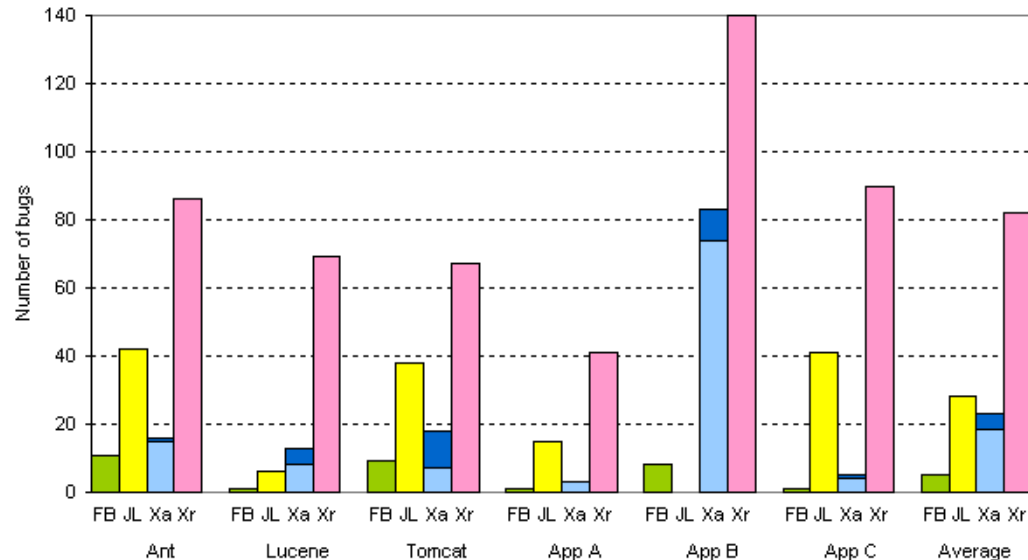
- **XYLEM-inter detects 16 times as many bugs as FINDBUGS and 3 times as many bugs as JLINT.**
- **less than 5% of the intraprocedural bugs were invalidated by interprocedural analysis.**
- **For Ant JLINT reported 32 out of 42 (>75%) false positives. XYLEM-inter reported 4 out of 82 (<5%) false positives. FINDBUGS reported 1 out of 11 (10%) false positive.**

# Empirical Evaluation - Accuracy



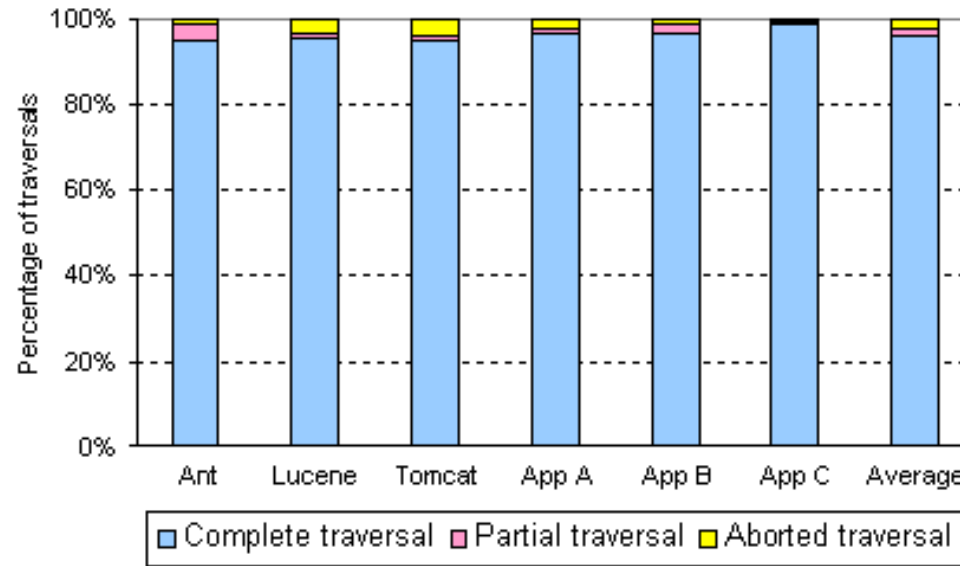
- **XYLEM-inter detects 16 times as many bugs as FINDBUGS and 3 times as many bugs as JLINT.**
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- **FINDBUGS reported one interprocedural bug. JLINT reported 7. XYLEM-inter reported 66.**

# Empirical Evaluation - Accuracy



- **XYLEM-inter detects 16 times as many bugs as FINDBUGS and 3 times as many bugs as JLINT.**
- **less than 5% of the intraprocedural bugs were invalidated by interprocedural analysis.**
- **For Ant JLINT reported 32 out of 42 (>75%) false positives. XYLEM-inter reported 4 out of 82 (<5%) false positives. FINDBUGS reported 1 out of 11 (10%) false positive.**
- **FINDBUGS reported one interprocedural bug. JLINT reported 7. XYLEM-inter reported 66.**
- **XYLEM-inter detected each true positive (intra or interprocedural) reported by either FINDBUGS or JLINT, and invalidated each false positive reported by FINDBUGS and JLINT.**

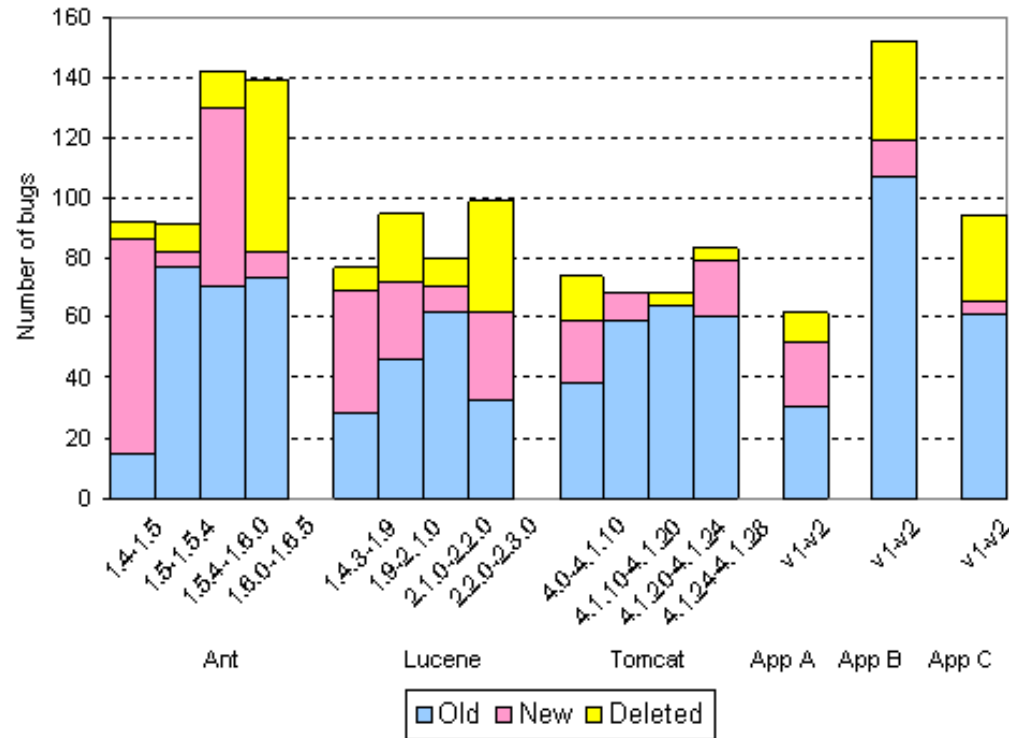
# Empirical Evaluation - Efficiency



- The two-second time limit caused less than 1% of the traversals to abort.
- Partial traversals, caused by path and state limits, overall, were about 2%.
- For three subjects, more than 97% of the traversals were complete
- The largest application analyzed by XYLEM contains over 1,009,000 lines of code. The analysis completed in approximately 3 hours and 30 minutes.



# Empirical Evaluation - Relevance



- The number of deleted bugs is an indicator of the relevance of the identified bugs.
- FINDBUGS reported bugs, 11 (10%) were deleted. For XYLEM 257 (24%) bugs were deleted.

## Conclusions and Future Work

- We have presented an accurate analysis for detecting null-dereference bugs in Java programs.
- Our analysis detects bugs that many commonly used tools miss, and it eliminates the false positives that other tools report.
- Our studies illustrate the efficiency of the analysis and the relevance of the detected bugs.
- Our tool has been deployed with several product-development teams in IBM, and preliminary feedback has been positive

### Future Work

- Comparison with sound analysis
- Prioritization of true positives
- Extension to other types of bugs, example resource-leak bugs

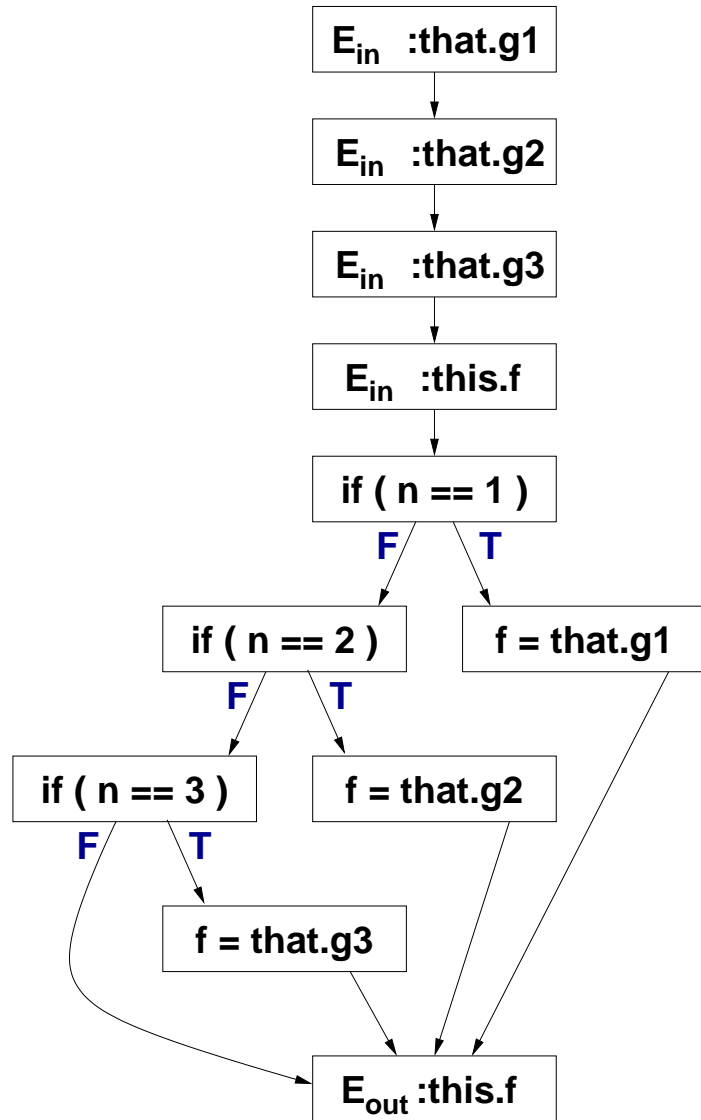
## Genesis of the name Xylem



- **XYLEM is the transport tissue in plants whose basic function is to transport water from the root through the branches of the plant.**

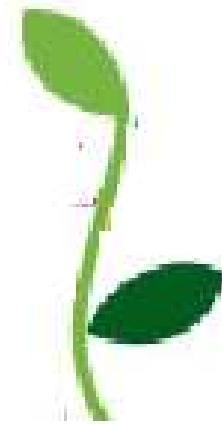
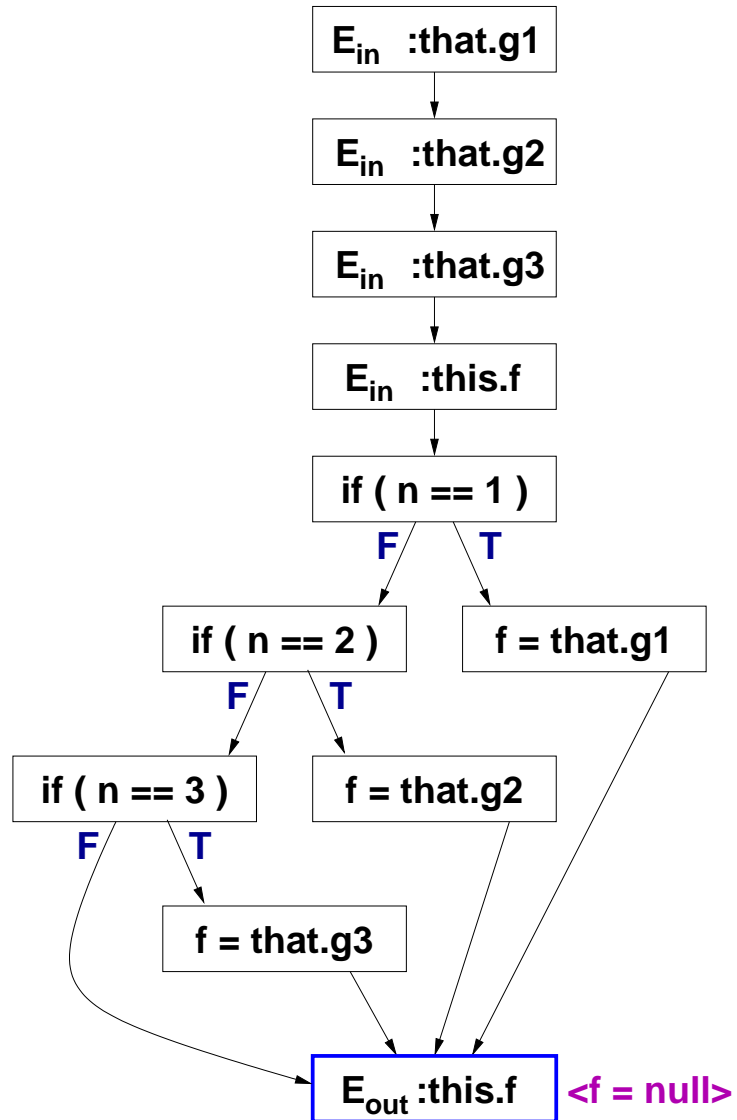
# Genesis of the name Xylem

void phase2(this, n, that)

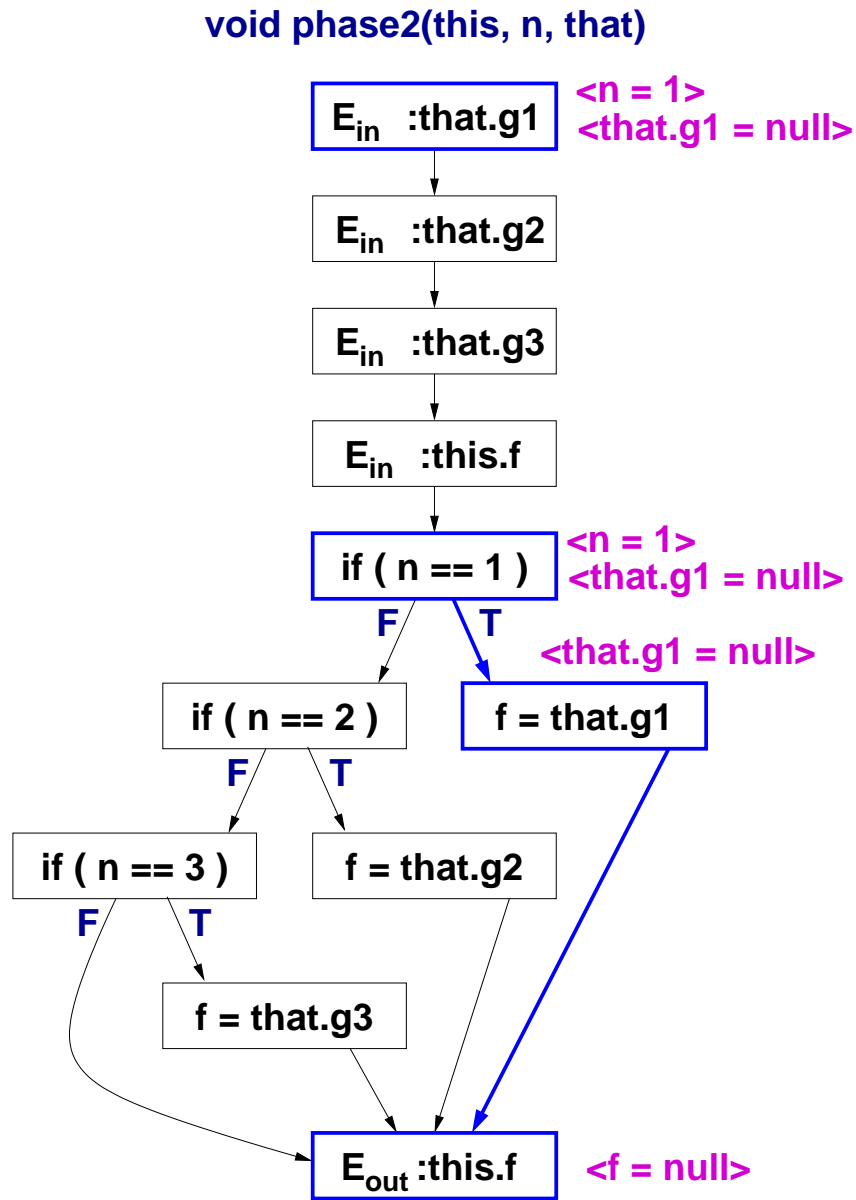


# Genesis of the name Xylem

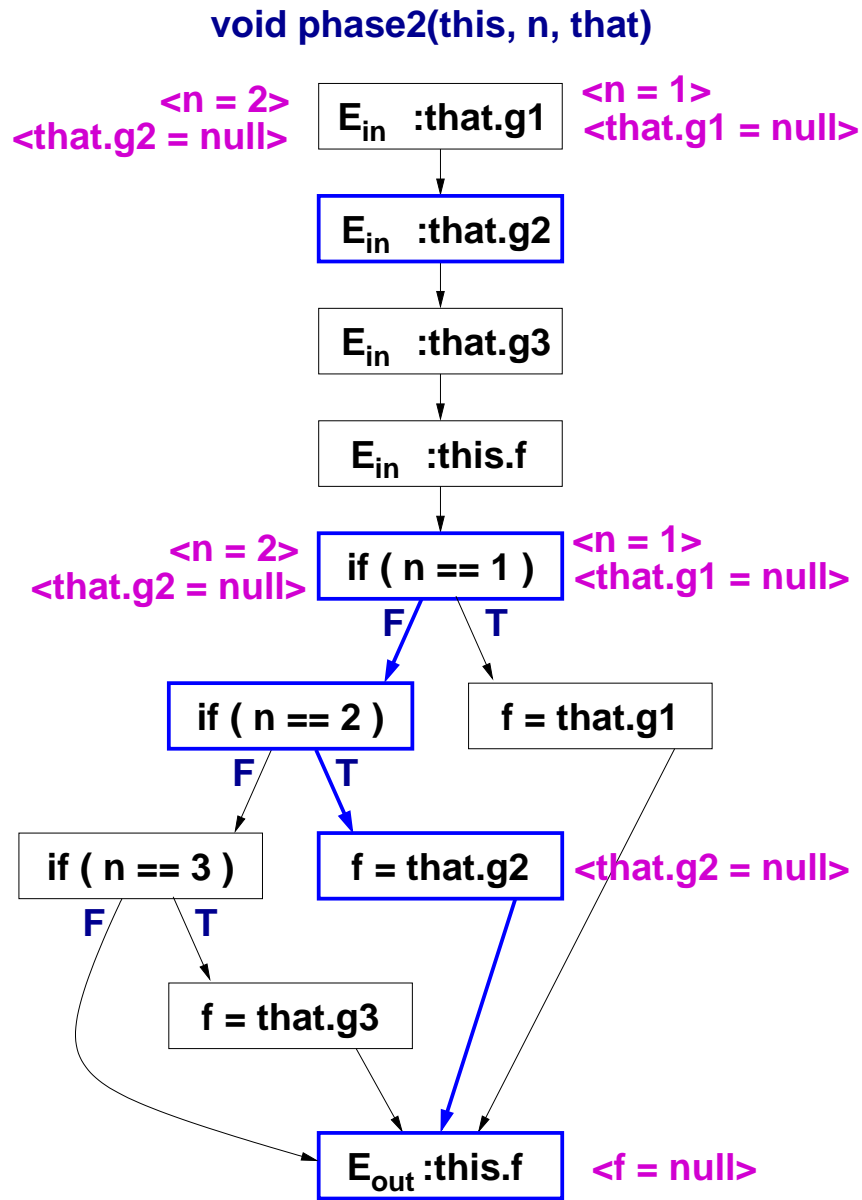
void phase2(this, n, that)



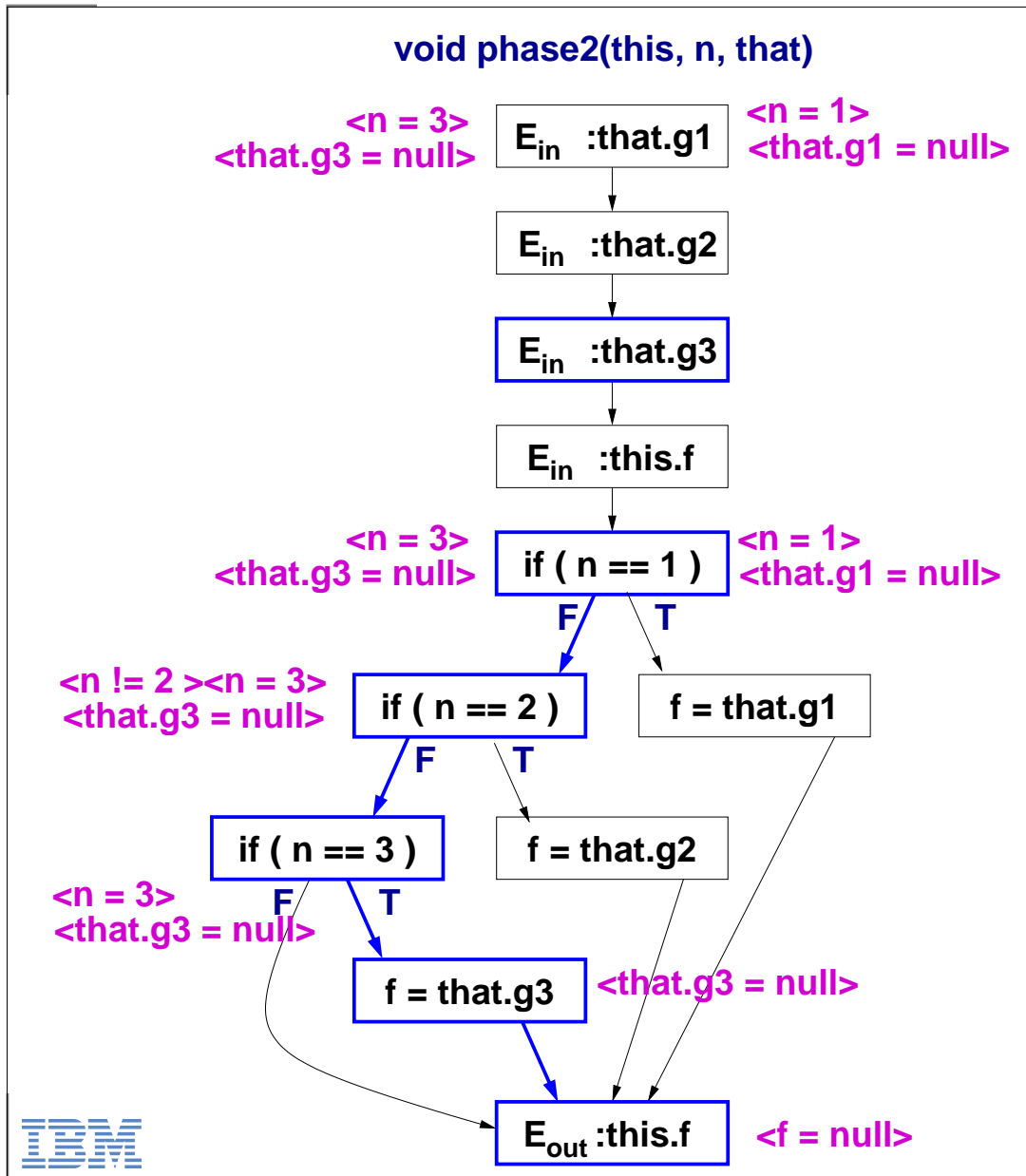
# Genesis of the name Xylem



# Genesis of the name Xylem



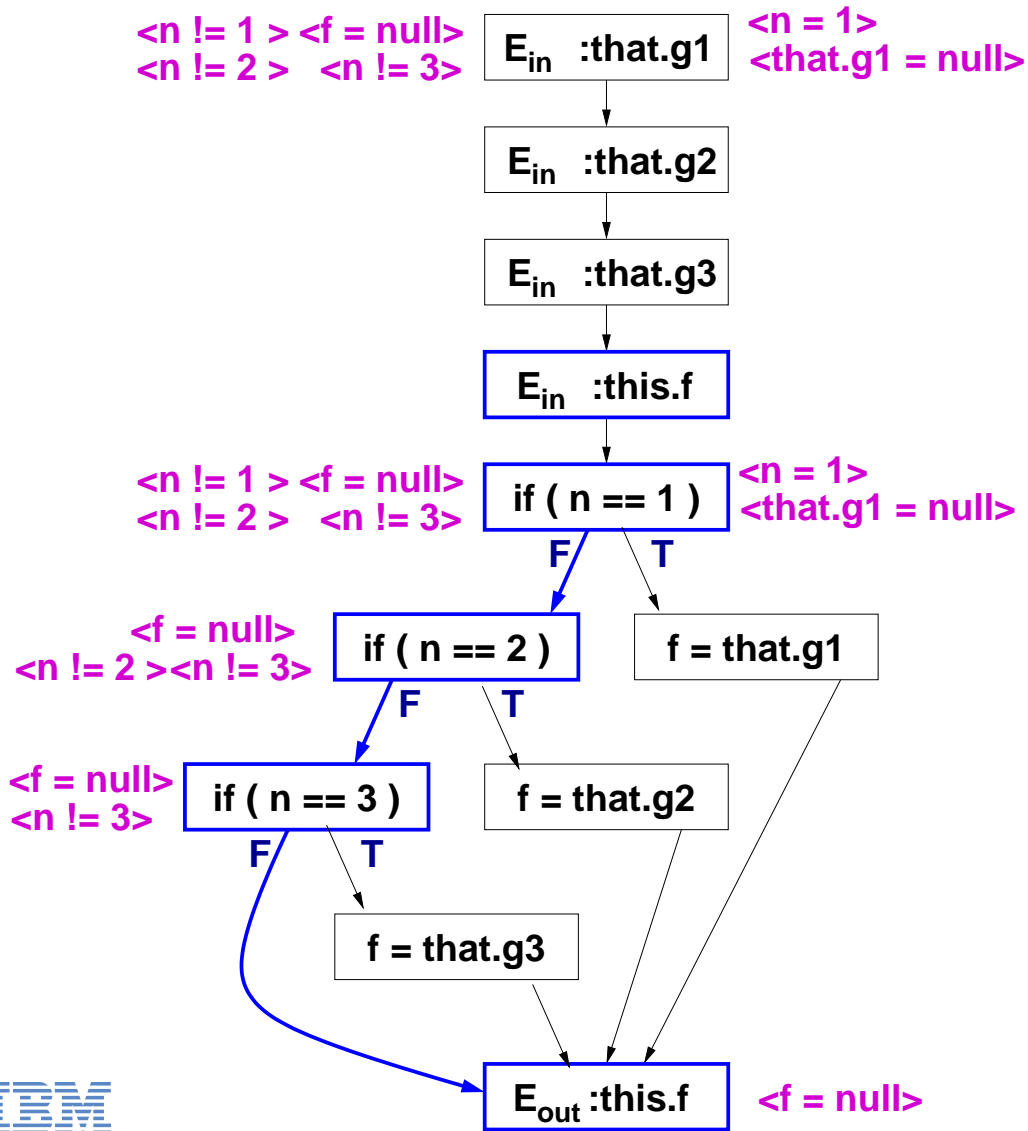
# Genesis of the name Xylem





# Genesis of the name Xylem

void phase2(this, n, that)



# Questions

